

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	Michael David Erlanger
Application No. 09/370,619	Filing Date: August 7, 1999
Title of Application:	Efficient Market For Financial Products
Confirmation No. 8285	Art Unit: 3694
Examiner	DANIEL LAWSON GREENE

Commissioner for Patents
Post Office Box 1450
Alexandria, VA 22313-1450

Request to Correct Error in Small Entity Status (37 CFR 1.28 (c))

The above-identified patent application was filed on August 7, 1999. At the time of filing, Small Entity Status was claimed and the associated Small Entity Fees were paid. It has since been discovered that through error the Office was not notified of a loss of entitlement to small entity status in October 2009 due to a license agreement to a large entity. The undersigned hereby states that the continued payment of the associated Small Entity Fees after October 2009 was in good faith. The undersigned request that the error be excused, as the below complies with 37 C.F.R. 1.28(c)(1) and (c)(2), and as the deficiency owed is paid herewith.

1. **Calculation of Deficiency Owed.** The amount which should have been paid (for other than a small entity) was \$140 (2nd Terminal Disclaimer) and \$1510 (Issue Fee). The amount of the previous erroneous (small entity) fee payment was \$70 for 2nd Terminal Disclaimer and \$755 for Issue Fee. The deficiency owed is therefore \$825.
2. **Itemization of Deficiency Payment.** Following is an itemization of the deficiency payment, showing the amount which should have been paid (for other than a

small entity), the amount of the previous (small entity) fee payment, and the deficiency owed for each fee erroneously paid:

<u>Description of Fee</u>	<u>Fee Paid</u>	<u>Fee Due</u>	<u>Deficiency</u>
2 nd Terminal Disclaimer	\$ 70	\$140	\$ 70
Issue Fee	\$ 755	\$1510	\$ 755
	Total Fee Deficiency:		\$825

3. **Payment of Deficiency Owed.** Please charge Deposit Account No. 19-4516 for the fee deficiency of \$825 and any other necessary fees.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted,

/Stephen P. McNamara/

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